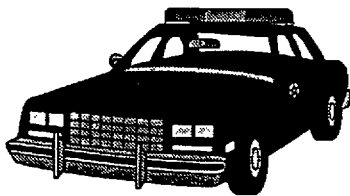




## FinCEN's New Customer Base

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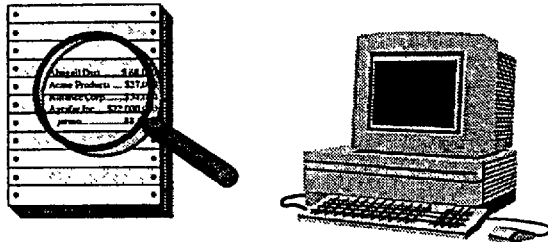
- International Law Enforcement and Regulatory Communities
- Domestic Financial Industry
- Domestic Regulatory Community
- Domestic Law Enforcement Community



Office of Tactical Operations

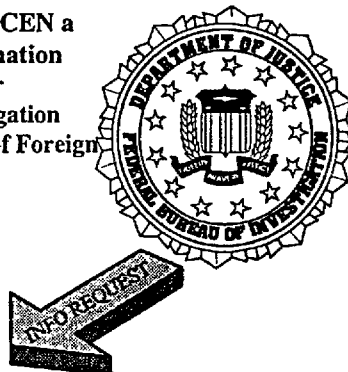
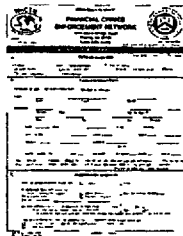
Database Overview

**Some Cases Require In Depth Research.  
That's When the Expertise of a FinCEN  
Analyst is Called Upon**



■ THE PROCESS BEGINS.....

**The FBI Sends FinCEN a  
Request for Information  
Concerning a Major  
Bank Fraud Investigation  
Involving a Group of Foreign  
Nationals.**



**THE PROCESS BEGINS**

**The Analyst Queries the  
Treasury Department's  
Financial Database for Bank  
Secrecy Act Data. This includes:**

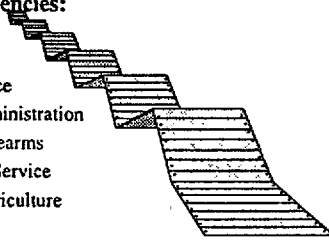


- Currency Transaction Reports (CTR)
- Foreign Bank Account Reports (FBAR)
- Casino Currency Transaction Reports (CTRC)
- Report of International Transportation of Currency or Monetary Instruments (CMIR)

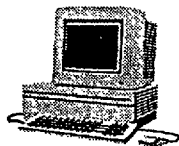
## LAW ENFORCEMENT DATABASES

FinCEN Has Access to a Variety of Law Enforcement Databases. With the Signing of New MOU's, the List Grows Longer. Currently FinCEN Uses Databases From the Following Agencies:

- U.S. Customs Service
- Internal Revenue Service
- Drug Enforcement Administration
- Alcohol Tobacco & Firearms
- U.S. Postal Inspection Service
- U.S. Department of Agriculture



## Next the Analyst Queries the Commercial Databases



FinCEN Not Only Has Access to a Wide Variety of Online Commercial Databases, but Possesses the Expertise Required to Effectively Apply This Rich Source of Information.

### Database Content

*Real Estate Records*  
*Corporate/Limited Partnership*  
*Bankruptcy Filings*  
*Social Security Records*  
*UCC Filings*  
*Liens and Judgments*  
*Aircraft Locator (FAA)*  
*Watercraft Locator (Coast Guard)*

### *Other Records*

*Motor Vehicle Records*  
*Drivers Licenses*  
*Voter Registration Records*  
*Professional Licensing*  
*Docket Information*  
*People Finder*

## **THE COMMERCIAL DATABASE PALETTE**

<b>AutoTrack Plus</b>	<b>Lexis-Nexis</b>
<b>D&amp;B WorldBase</b>	<b>Information America</b>
<b>Dallas Computer</b>	<b>CDB Infotek</b>
<b>TRW &amp; Equifax</b>	<b>PhoneDisc</b>
<b>Dow Jones</b>	<b>Dialog</b>

## **COVERAGE**

### **\* Note:**

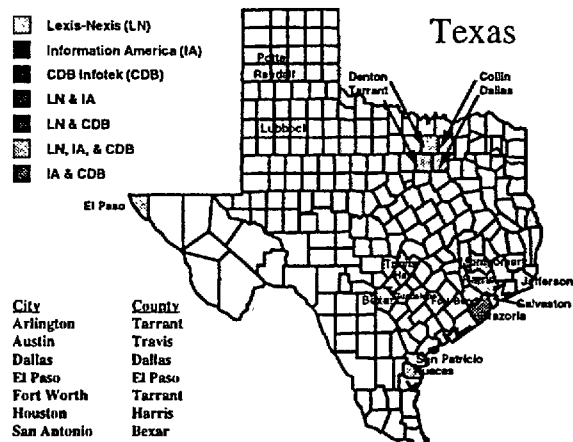
The three major public records commercial databases (Lexis/Nexis, Information America, and CDB Infotek) compete to provide the best possible coverage. Therefore, changes in coverage are constant.

# Real Estate Asset Locator

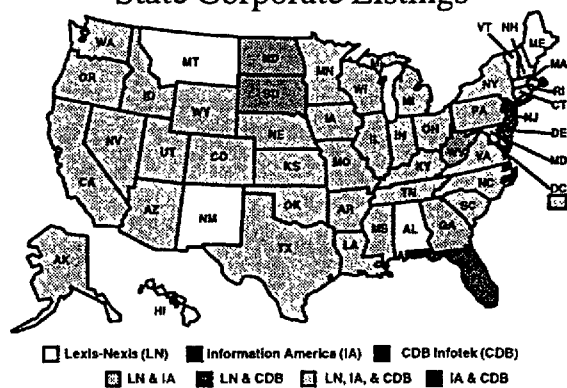


To search for a state:

- click mouse on View menu at top of screen
- click on Outline
- double click on icon next to state of choice



## State Corporate Listings



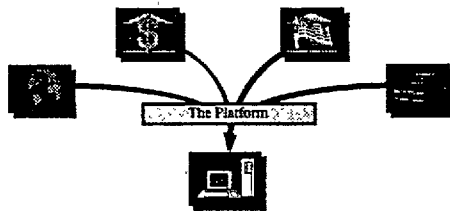
## New Customers - New Demands

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- The demand for FinCEN's services by the law enforcement community alone has maximized available resources.
- In response to new demands, FinCEN will meet customer needs by franchising its services through a series of information "Gateways."

## How Does FinCEN Help You Help Yourself

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OFFICIAL USE ONLY

# FINANCIAL CRIMES ENFORCEMENT NETWORK

2070 Chain Bridge Road

Vienna, VA 22182

1-800-SOS-BUCK



Control No. \_\_\_\_\_  
(FinCEN use only)

FAX: 703-905-3526

(FAX request will be accepted in lieu of original)

## REQUEST FOR QUERY/ANALYSIS

A.

### TYPE OF REQUEST

Please print or type all information

1. Category

☐ Single Subject

☐ Criminal Organization

2. Scope of Criminal Activity

☐ Local ☐ National

☐ International

3. Type of Agency

☐ Federal

☐ State/Local

☐ Foreign

B.

### CASE INFORMATION

PRIMARY SUBJECT OF INVESTIGATION: (individual or business)

NAME:

Last

First

Middle

ADDRESS:

Number

Street

Apt. or Suite #

City

State

Zip

Country

DOB \_\_\_\_\_ POB: City \_\_\_\_\_ State \_\_\_\_\_ Country \_\_\_\_\_

SSN or EIN# \_\_\_\_\_ FBI # \_\_\_\_\_ SID # \_\_\_\_\_

PHONE # \_\_\_\_\_ OTHER \_\_\_\_\_  
(specify)

RACE/ETHNICITY \_\_\_\_\_ SEX \_\_\_\_\_ HEIGHT \_\_\_\_\_ WEIGHT \_\_\_\_\_ EYES \_\_\_\_\_ HAIR \_\_\_\_\_

Attach additional sheets, completing ONLY this section (B) for other principal associates and businesses. Also list known aliases, social security numbers, DOBs, addresses and financial institutions dealt with by principals or associates.

Number of additional sheets attached:

C.

### PURPOSE OF REQUEST

1. TYPE OF INVESTIGATION (check one)

☐ Criminal

☐ Civil

2. REASON FOR REQUEST (check one)

☐ General Investigative Support

☐ Search/Seizure

☐ Other (cite reason) \_\_\_\_\_

☐ Arrest Warrant

☐ Location of Fugitive

☐ Case Development/Intelligence

3. DO YOU ANTICIPATE ASSET FORFEITURE IN THIS CASE?

☐ YES

☐ NO

a. If yes, have specific seizable assets been identified?

☐ YES

☐ NO

b. If so, please list such real, personal, and/or financial data with identification numbers on an attached sheet.

4. GIVE DESCRIPTION OF TYPE OF PRODUCT/RESULT SOUGHT FROM FinCEN (check one)

☐ Analytical report (linking of individuals/businesses to criminal activity)

☐ Database Extracts

**D. INVESTIGATIVE INFORMATION**

**1. Complete the following:**

a. Are other agencies participating in this investigation? ☐ YES ☐ NO

If yes, specify: \_\_\_\_\_

b. Is this a narcotics investigation? ☐ YES ☐ NO (If no, go to c.)

If yes, is this an approved OCDETF case? ☐ YES ☐ NO

Specify type of drug (check one): ☐ Cocaine ☐ Heroin ☐ Marijuana ☐ Other controlled substance

Is the major focus of your investigation to establish the existence of a financial violation, (e.g., money laundering, Bank Secrecy Act, CCE, income tax, etc.)? ☐ YES ☐ NO

**c. For non-drug cases, specify category of investigation (check one):**

- ☐ Financial Institution ☐ Money Laundering - Non-Narcotics  
☐ General Financial Crimes (e.g., public or political corruption, tax or contract fraud, bribery, etc.)  
☐ Other General Crimes (e.g., firearms violations, terrorist activity, etc.)

**2. Provide overview of criminal activity and principal statutory violation(s).**

Include why this investigation is a priority for your agency and if any information is protected by grand jury secrecy. (Attach additional sheets if necessary.)

**E. REQUESTOR INFORMATION**

**REQUESTOR:**

Requestor Case No.: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

AGENCY: \_\_\_\_\_ TELEPHONE: \_\_\_\_\_ FAX: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

Is this request generated from a Core City OCDETF Office? ☐ YES ☐ NO

Specify: \_\_\_\_\_  
City \_\_\_\_\_ Region \_\_\_\_\_

Is this request from a HIDTA-funded Office? ☐ YES ☐ NO

**AUTHORIZING OFFICIAL:**

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

TELEPHONE: \_\_\_\_\_ FAX: \_\_\_\_\_

I request that FinCEN query these names in the appropriate law enforcement and commercial databases, including the financial database containing Bank Secrecy Act information that my agency may lawfully receive.

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

**PAPERWORK REDUCTION ACT NOTICE:** This form is in compliance with the Paperwork Reduction Act of 1980. Its purpose is to obtain information necessary to respond to requests for investigative assistance. The information will be used to process requests for assistance and for internal statistical purposes. The furnishing of this information is voluntary. The estimated average time to fill out this form is 30 minutes. If you have comments concerning the accuracy of this time estimate, or suggestions for simplifying this form, please send these comments (not the completed form) to: FinCEN, 2070 Chain Bridge Road., Vienna, VA 22182, and the Office of Management and Budget, Paperwork Reduction Project (1545-0139), Washington, DC 20503.

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